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I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "CERTIFIED MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.8 on the date indicated above and is addressed to the Commissioner For Patents, Alexandria, VA 22313-1450.	
Name: <u>Feng Elizondo</u>	<u>11/3/06</u>
<u>[Signature]</u> Signature	Date

The Commissioner for Patents
United States Patent and Trademark Office
P. O. Box 1450
Alexandria, VA 22313-1450

Re: U.S. Utility Patent Application
Appl. No. **10/694,928**; Filed **10/27/2003**
For: **Planar Waveguide with Patterned Cladding and Method for Producing Same**
Inventor(s): **Maxwell, et al.**
Docket No.: **RPO-P040A**

Sir:

The following documents are forwarded herewith for action by the U.S. Patent and Trademark Office:

1. Information Disclosure Statement;
2. Form PTO/SB/08A with 2 Foreign Patent Document(s)
3. Form PTO/SB/08B; and
4. A Check (# 1727) for \$ 180.00 to cover required fees of this correspondence, and;
5. A return post card.

It is respectfully requested that the attached postcard be stamped with the receipt date of the above documents and returned to the addressee as soon as possible.

X Should Applicant inadvertently miscalculated the required fee, the Commissioner is hereby authorized to charge any necessary amount associated with this communication or credit any overpayment to **Deposit Account No: 500482**.

Respectfully submitted,

[Signature]
DENNIS S. FERNANDEZ, ESQ

Reg. No. 34,160

11/3/06
Date

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): **Maxwell, et al.**

Docket No.: **RPO-P040A**

Serial No.: **10/694,928**

Group Art Unit: **2883**

Filed: **10/27/2003**

Examiner: **Derek L. Dupuis**

Title: **Planar Waveguide with Patterned Cladding and Method for Producing Same**

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

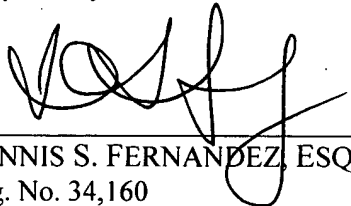
Sir:

In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicants wish to bring the enclosed printed publications and U.S. patent references to the attention of the Examiner.

Applicant respectfully submits that Applicants' claimed invention is patentable over such references. Forms PTO/SB/08A and PTO/SB/08B listing these references are attached. It is understood the Office may find additionally relevant material in these or other references.

As per MPEP 609(d), I state that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,


DENNIS S. FERNANDEZ, ESQ.
Reg. No. 34,160

11/3/06

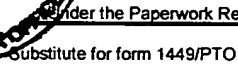
Date

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(Use as many sheets as necessary)

Attorney Docket Number	RPO-P040A
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Sheet	1	of	1
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 T^2 Date
Considered

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.